

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steven McCanne et al.

Application No.: Not assigned

Filed: Herewith

For: SYSTEM FOR MULTIPOINT  
INFRASTRUCTURE TRANSPORT IN A  
COMPUTER NETWORK

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/412,815, filed October 5, 1999 (Attorney Docket No. 019599-000310US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Philip H. Albert  
Reg. No. 35,819

7/9/03

\_\_\_\_\_  
Date

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **3**

**Complete if Known**

Application Number	Not assigned
Filing Date	Herewith
First Named Inventor	McCanne, Steven, et. al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	019599-000320US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	US-5,831,975		11-1998	Chen et al.	
	AB	US-5,903,559		05-1999	Acharya et al.	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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SF 1396214 v1

FORM PTO-1449 (Modified)		Attorney Docket No.: 019599-000320US		Application No.: Not assigned	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Steven McCanne et al.			
		Filing Date: Herewith		Group: Unassigned	
Reference Designation		U.S. PATENT DOCUMENTS			
		Page 1			
Examiner Initial	Document No.	Date	Name	Class	Sub-class
					Filing Date (If Appropriate)
FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Sub-class
					Translation (Yes/No)
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
___ BA	Deering, S. and Cheriton, D., "Multicast Routing in Datagram Internetworks and Extended LANs," <i>ACM Transactions on Computer Systems</i> , Vol. 8, no. 2, May 1990, pp. 85-110.				
___ BB	Maffeis, S., Bischofberger, W.R., and Mätzel, K. -U., "GTS: A Generic Multicast Transport Service," <i>UBILAB Technical Report</i> , 94.6.1, 1994, pp. 1-7.				
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___ BL	Sharma, P., Estrin, D., Floyd, S. and Jacobson, V., "Scalable Timers for Soft State Protocols," Proceedings IEEE Infocom '97 (Kobe, Japan) April 1997.				
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___ BN	Hodel, H., "Policy Tree Multicast Routing: An Extension to Sparse Mode Source Tree Delivery," <i>ACM Computer Communication Review</i> , Vol. 28, No. 2 April 1998, pp. 78-97.				
___ BO	Kumar, S., Radoslavov, P., Thaler, D., Alaettinoglu, C., Estrin, D., and Handley, M., "The MASC/BGMPL Architecture for Inter-Domain Multicast Routing," SIGCOMM '98 (Vancouver, Canada) September 1998.				
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___ BQ	Handley, M., Crowcroft, J., Bormann, C., Ott, J., "Very Large Conferences on the Internet: The Internet Multimedia Conferencing Architecture," <i>Computer Networks</i> , Vol. 31, No. 3, 1999 pp. 191-204.				
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___ BS	Farinacci, D., Lin, A., Speakman, T., and Tweedly, A., "Pretty Good Multicast (PGM) Transport Protocol Specification," Internet Draft, Internet Engineering Task Force, January 1998.				

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___ CA	Blazevic, L. and Le Boudec, J., "Distributed Core Multicast (DCM): A Routing Protocol for Many Small Groups with Application to Mobile IP Telephony," Internet Engineering Task Force, June 1999.
___ CB	Hampton, D., Oran, D., Salama, H., and Shad, D., "The IP Telephony Border Gateway Protocol (TBGP), Internet Engineering Task Force, June 1999.
___ CC	Finlayson, R. "The UDP Multicast Tunneling Protocol," Internet Draft, July 1999.
___ CD	Malis, A., Heinanen, J., Armitage, G., Gleeson, B., "A Framework for IP Based Virtual Private Networks Internet Draft," August 1999.
___ CE	Waitzman, D., Partridge, C., Deering, S. E., "Distance Vector Multicast Routing Protocol," Request For Comments RFC 1075, November 1988.
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___ CK	Estrin, D., Farinacci, D., Helmy, A., Thaler, D., Deering, S., Handley, M., Jacobson, V., Liu, C., Sharma, P., and Wei, L., "Protocol Independent Multicast-Sparse Mode (PIM-SM)," Request for Comments, RFC 2362, June 1998.
___ CL	Arango, M., Dugan, A., Elliott, I, Huitema, C., and Pickett, S., "Media Gateway Control Protocol (MGCP) Version 1.0," RFC 2705, October 1999.
EXAMINER _____ DATE CONSIDERED _____	

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